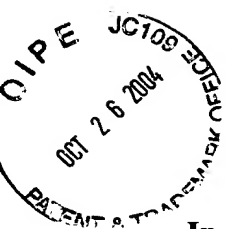


**DOCKET NO.: MSFT-0124/154571.1**

**PATENT**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:**

**Marco A. DeMello, et al.**

**Confirmation No.: 5020**

**Application No.: 09/604,540**

**Group Art Unit: 2766**

**Filing Date: June 27, 2000**

**Examiner: Thomas R. Peeso**

**For: SERVER FOR AN ELECTRONIC DISTRIBUTION SYSTEM AND METHOD  
OF OPERATING SAME**

**EXPRESS MAIL LABEL NO: EL 999298896 US  
DATE OF DEPOSIT: October 26, 2004**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **30 thru 32, 42 thru 46, 56 thru 58, 69 thru 73** listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of reference numbers **33 thru 41, 47 thru 55, 59 thru 68** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).


- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

**DOCKET NO.: MSFT-0124/154571.1 - 3 -**

**PATENT**

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: October 26, 2004

  
**Peter M. Ullman**  
Registration No. **43,963**

WOODCOCK WASHBURN LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

© 2004 WW



<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>MSFT-0124/154571.1</b>	Application No. <b>09/604,540</b>
	Applicant <b>Marco A. DeMello, et al.</b>	
	Filing Date <b>June 27, 2000</b>	Group <b>2132</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>		
	<b>30</b>	Auchsmith, D., "Tamper Resistant Software: An Implementation", <i>First International Workshop</i> , Anderson, Ross (ed., ) <b>1996</b> , 317-333
	<b>31</b>	Jaeger, T., "Flexible Control of Downloaded Executable Content", <i>ACM Transactions on Information and System Security</i> , <b>1999</b> , 2(2), 177-228
	<b>32</b>	Shamir, A. et al., "Playing Hide and Seek with Stored Keys", <i>Third International Conference</i> , <b>1999</b> , franklin, M. (ed), 118-124
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>



<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>MSFT-0124/154571.1</b>	Application No. <b>09/604,540</b>
	Applicant <b>Marco A. DeMello, et al.</b>	
	Filing Date <b>June 27, 2000</b>	Group <b>2132</b>

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	33	2002/0002611	01/02	Vange, Mark	709	223
	34	5,809,145	09/98	Slik, et al.	705	52
	35	5,864,620	01/26/99	Pettitt	380	4
	36	6,088,717	07/00	Reed, et al.	709	201
	37	6,226,618	05/01	Downs, et al.	705	1
	38	6,425,017	07/02	Dievendorff, et al.	709	315
	39	6,606,604	08/03	Dutta, Rabindranath	705	26
	40	6,629,138	09/03	Lambert, et al.	709	224
	41	6,718,361 B1	04/04	Basani, et al.	709	201

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	42	EP 0 795 809 A2	09/17/97	EPO		
	43	WO 00/08909	02/24/00	PCT		
	44	WO 00/21239	04/13/00	PCT		
	45	WO 00/75760 A1	12/14/00	PCT		
	46	WO 99/63416	12/09/99	PCT		

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------



<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office				Docket No. <b>MSFT-0124/154571.1</b>		Application No. <b>09/604,540</b>	
				Applicant <b>Marco A. DeMello, et al.</b>			
				Filing Date <b>June 27, 2000</b>		Group <b>2132</b>	
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	47	2001/0011238	08/01	Eberhard, et al.	705	27	
	48	5,708,780	01/98	Levergood, et al.	709	229	
	49	5,724,425	03/03/98	Chang, et al.	380	25	
	50	5,933,498	08/03/99	Schneck, et al.	380	4	
	51	5,970,475	10/99	Barnes, et al.	705	27	
	52	5,999,622	12/07/99	Yasukawa, et al.	380	4	
	53	6,006,332	12/21/99	Rabne, et al.	713	201	
	54	6,021,492	02/01/00	May, Gregory J.	713	200	
	55	6,298,446 B1	10/02/01	Schreiber, et al.	713	201	
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Country	Translation		
					YES	NO	
	56	EP 0 778 512 A2	06/11/97	EPO			
	57	EP 0 843 449 A2	05/20/98	EPO			
	58	WO 99/01815	01/14/99	PCT			
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			



<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>MSFT-0124/154571.1</b>	Application No. <b>09/604,540</b>
	Applicant <b>Marco A. DeMello, et al.</b>	
	Filing Date <b>June 27, 2000</b>	Group <b>2132</b>

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	59	4,924,378	05/08/90	Hershey, et al.	713	201
	60	5,222,134	06/22/93	Waite, et al.	380	4
	61	5,509,070	04/16/96	Schull	380	4
	62	5,734,823	03/31/98	Saigh, et al.	395	200.06
	63	5,734,891	03/31/98	Saigh	395	610
	64	5,784,609	07/21/98	Kurihara, A.	707	9
	65	5,983,273	11/09/99	White, et al.	709	229
	66	5,991,402	11/23/99	Jia, et al.	705	59
	67	6,035,403	03/07/00	Subbiah, et al.	713	201
	68	6,067,582	05/23/00	Smith, et al.	710	5

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	69	WO 96/24092	08/08/96	PCT		
	70	WO 98/44402	10/08/98	PCT		
	71	WO 99/26123	05/27/99	PCT		
	72	WO 99/45491	09/10/99	PCT		
	73	WO 99/55055	12/28/99	PCT		

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------



**DOCKET NO.: MSFT-0124/154571.1**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:**

**Marco A. DeMello, et al.**

**Confirmation No.: 5020**

**Application No.: 09/604,540**

**Group Art Unit: 2766**

**Filing Date: June 27, 2000**

**Examiner: Thomas R. Peeso**

**For: SERVER FOR AN ELECTRONIC DISTRIBUTION SYSTEM AND METHOD  
OF OPERATING SAME**

**EXPRESS MAIL LABEL NO: EL 999298896 US**  
DATE OF DEPOSIT: October 26, 2004

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**COMMUNICATION**

- ☒ Applicant wishes to advise the examiner that applications #'s **09/604,564, 09/604,944, 09/604,939, 09/604,946, 09/604,174, 09/604,636, 09/604,540, 09/604,222, 09/604,541, 09/604,518, 09/604,543** all filed **June 27, 2000** and application #'s **10/858,890** filed **June 2, 2004**, **10/871,739** filed **June 18, 2004**, and **MSFT-4470**-Serial number not yet assigned filed **September 17, 2004** are **pending**. Some of these applications share substantially identical specifications, or have specifications that contain overlapping material. Moreover, some of the applications have overlapping sets of inventors.

**DOCKET NO.: MSFT-0124/154571.1**

Date:

**PATENT**



---

**Peter M. Ullman**  
Registration No. **43,963**

**WOODCOCK WASHBURN LLP**  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

© 2004 WW